

Kentucky Angel Investment Act

List of Qualified Investors as of 5/25/2017

The following individuals have been certified as Qualified Investors under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). To be eligible for tax credit consideration through the Kentucky Angel Investment Act, an individual must be on this list prior to making a Qualified Investment in a Qualified Small Business. The Cabinet for Economic Development does not perform financial screening or due diligence on the individuals listed here and limits its certification to the statutory parameters for a Qualified Investor. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Investor eligibility criteria.

The Qualified Investor designation applies only to the Kentucky Angel Investment Act program. Individuals on this list may or may not qualify as a qualified investor for other programs with differing investor criteria.

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Abbas, Gregory M	05/01/2017	Active	Littrell, Kenneth V.	02/28/2017	Active
Abbu, Ramanadham	01/20/2017	Active	Livesay Jr., Kenneth W.	02/07/2017	Active
Absher, Nelea A.	04/23/2015	Active	Logeman, Doug	01/22/2015	Active
Adams, Brian Patrick	01/11/2016	Active	Luftman, Brian A.	01/05/2017	Active
Adler, Edward C	05/02/2016	Active	Lunsford, William Bruce	11/29/2016	Active
Alford, Farra M.	01/17/2017	Active	Mackin, Craig L	03/17/2016	Active
Allison, Robert William	06/01/2015	Active	Marcum, Weston	12/15/2015	Active
Andrews, Robin W.	02/11/2015	Active	Martin, Gerald R.	02/20/2015	Active
Anglin, Richard	02/17/2015	Active	Mattix, Chadwick M	12/15/2015	Active
Antonsson, Stefan	12/15/2015	Active	Mayer, John Albert	06/17/2015	Active
Anzalone, John	05/14/2015	Active	McCarty, Ryan W.	02/18/2015	Active
Baggott, Stephen J	12/15/2015	Active	McCarty, William R	02/18/2015	Active
Baker, William True	12/21/2015	Active	McClelland, Martin	02/12/2015	Active
Balbach, Anthony J	05/14/2015	Active	McKee, Donald F.	12/15/2015	Active
Baluyut, Arlene R	03/21/2016	Active	McKeown, Peter P.	01/20/2017	Active
Banta, Thomas E.	03/16/2016	Active	Meng, Kenneth A	11/21/2014	Active
Beanblossom, Brent Christian	01/09/2017	Active	Meyer, Michael D	02/18/2015	Active
Becker, Mark John	12/29/2015	Active	Miller, Jr., Richard C.	12/30/2014	Active
Beebe, Susan Pollard	04/13/2015	Active	Mills, Richard C	03/17/2016	Active
Bejma, Jeffrey Paul	01/10/2017	Active	Minson, Gilbert J.	01/19/2016	Active
Benedict, William J.	12/27/2016	Active	Mlynek, Scott Alan	03/13/2015	Active
Beneke, Michael J	12/02/2016	Active	Modi, Neelam S.	12/18/2014	Active
Benz, Laurence N	12/08/2014	Active	Moeves, Tobias	03/29/2016	Active
Berg, Fran L	01/23/2015	Active	Moore, Kevin C	03/04/2016	Active

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Berger, Bryan C.	02/18/2015	Active	Morgan, Hoyt David	03/20/2015	Active
Berger, James Alexander	06/17/2015	Active	Moyer, Charles R.	08/09/2016	Active
Berger, John August	06/30/2015	Active	Mudd, Rob	01/11/2016	Active
Berning, Brian	02/18/2015	Active	Mullins, Gregory C.	11/16/2016	Active
Bickel III, Paul J	09/06/2016	Active	Murdock, Shawn L.	05/20/2016	Active
Bingham, Ronald E	05/06/2015	Active	Murry, Wesley E	05/18/2015	Active
Blandford, George E	12/20/2016	Active	Neace, John F.	01/24/2017	Active
Boden, Dale J	03/15/2016	Active	Needham, Philip	02/12/2015	Active
Bork, Daniel P	12/30/2016	Active	Newman, Jill H.	01/14/2015	Active
Boyd, Cameron Reid	01/26/2016	Active	Nguyen, Yung T.	01/09/2017	Active
Boyd, James R.	05/21/2015	Active	Nicholas, Nancy L.	01/22/2015	Active
Brand, George E	01/20/2017	Active	Nicholas, Thomas L.	05/14/2015	Active
Brann, Helen Lisa	10/04/2016	Active	Nixon, Mary	06/12/2015	Active
Bray, Chad	12/29/2015	Active	Nixon, Theodore Halstead	03/07/2017	Active
Bray, Scott	12/28/2015	Active	Noonan, Joseph E.	01/24/2017	Active
Bresser, Brett	06/17/2015	Active	Ochsner, Christian F.	02/02/2017	Active
Brown III, Owsley	05/20/2016	Active	O'Leary, Sean	01/23/2015	Active
Brown, Christina Lee	04/20/2015	Active	Olenick, Stephen	03/13/2017	Active
Brown, III, Robinson	01/20/2017	Active	O'Reilly, James T	01/11/2016	Active
Brown, Kimberly F.	12/16/2015	Active	Orzali, Peter J.	02/19/2015	Active
Brown, Stephen L	05/14/2015	Active	Osborn, Jr., Charles A.	12/16/2015	Active
Bruck, John Mark	02/02/2016	Active	Ostertag, Eric Michael	09/04/2015	Active
Burke, Brennan C.	03/03/2016	Active	Pagano, Dominick Anthony	01/20/2017	Active
Burkett, John N.	04/18/2017	Active	Page, David A	03/17/2016	Active
Butler, William P.	01/19/2017	Active	Patel, Amit B.	12/27/2016	Active
Cain, Darren L.	05/02/2017	Active	Patil, Santosh	03/15/2017	Active
Cain, David A.	03/03/2015	Active	Patterson II, James A.	03/22/2016	Active
Cain, John S.	02/17/2015	Active	Perman, Mark	01/14/2015	Active
Cain, Rodney Charles	07/02/2015	Active	Perry, Donald J	12/15/2015	Active
Capossela, Theodore A	12/15/2015	Active	Petrik, Robert J.	12/28/2015	Active
Carlson, Gerald J.	09/06/2016	Active	Piccoro, Jr., John Joseph	11/28/2016	Active
Chadalawada, Satyanarayana	01/20/2017	Active	Piccoro, Lance T.	02/18/2016	Active
Chadha, Vikrampal	11/20/2016	Active	Pietromonaco, MaryAnn	06/17/2015	Active
Chan, Richard Tat Lee	01/12/2015	Active	Pitts, Donald Gregory	04/23/2015	Active
Chandler, Jr., William F.	01/09/2017	Active	Polson, Jack	05/20/2016	Active
Chapman, Gregory I.	12/27/2016	Active	Powell, Patrick S	06/15/2015	Active
Charasika, James D	02/01/2016	Active	Rader, M. Elizabeth	12/15/2015	Active
Chengelis, Christopher Peter	01/09/2017	Active	Ragan, Steven R.	02/05/2015	Active
Chennareddy, Sudhakar B.	02/28/2017	Active	Ramsey, Neil P.	01/20/2017	Active
Cheripalli, Praveen	01/24/2017	Active	Rardin, Annie M.	08/19/2015	Active
Clay-Circle, Renee	01/11/2016	Active	Rardin, Donnie C.	08/19/2015	Active
Clay-Thomas, Amy Renee	01/11/2016	Active	Ravichandran, Vidya	11/29/2016	Active
Clements, Pat J	12/27/2016	Active	Reed, III, W. Earl	01/30/2015	Active
Clements, Twyman Keene	03/15/2016	Active	Reilly, Timothy A	03/18/2016	Active
Cole, Vincent J.	12/16/2015	Active	Rice, Jardane M.	11/20/2016	Active
Collins, Andrew C.	07/24/2015	Active	Rice, Jr., George Johnson	05/19/2016	Active
Cooper, Brent	02/18/2015	Active	Richardson, Charles Todd	01/08/2015	Active

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Cooper, David H.	04/22/2016	Active	Richardson, David Tuell	01/11/2017	Active
Cox, Mary Kathleen	06/01/2015	Active	Richardson, Mary Towns	02/11/2015	Active
Cundiff, Clarence K.	04/20/2017	Active	Rix, Douglas Walter	02/14/2016	Active
Danehy, Jack C.	12/19/2016	Active	Robbins, Robert E	06/30/2015	Active
DelCotto, Laura Day	03/27/2017	Active	Robertson, Stephen T	02/18/2016	Active
Deo, Nikhil A	03/17/2016	Active	Robey, Ronald G	01/30/2015	Active
Desmarais, Normand G	03/10/2016	Active	Rogers, Fielding Allen	03/10/2016	Active
Devineni, Srinivasa Babu	01/20/2017	Active	Rooker, Daniel Kenyon	08/13/2015	Active
Diaz, Paul J.	01/09/2017	Active	Rosenberg, Alan M	01/11/2016	Active
Dicken, Kenneth H.	02/11/2015	Active	Roth , John B	12/08/2016	Active
Dickinson, Dean Kenneth	02/26/2016	Active	Roth, Bruce J.	02/07/2017	Active
Donnally, James O.	01/11/2016	Active	Rothpletz, John Daniel	06/17/2015	Active
Dorsey, Scott	01/22/2015	Active	Ruckdaeschel, Alexander M.	02/11/2016	Active
Dube, Richard	02/11/2015	Active	Rudd, William O	03/14/2016	Active
Dueber, John E	12/22/2015	Active	Ruffenach, Linda Marie	01/14/2015	Active
Duncan, Brian	02/28/2017	Active	Russert, Benjamin J	06/17/2015	Active
Ebrahimpour, Arman	10/04/2016	Active	Ryan, Shawn	08/03/2015	Active
Evans, Richard Edwin	04/17/2015	Active	Salamon, Michael	02/02/2015	Active
Fassler, Matt	08/03/2015	Active	Samarasinghe, Dinuka	12/22/2016	Active
Fehrenbacher, Dennis G.	01/24/2017	Active	Samarasinghe, Lalantha	01/23/2015	Active
Ferguson, Deborah Lynn	02/02/2016	Active	Samarasinghe, Melanie	12/22/2016	Active
Ferguson, James Michael	10/12/2016	Active	Samarasinghe, Rushan Ayendra	12/22/2016	Active
Field, Richard Laidley	08/03/2015	Active	Sartori, Paul A.	02/26/2015	Active
Fincher, Ronald M	06/21/2016	Active	Sathe, Andrew David	08/03/2015	Active
Fiorini, Albert Edward	05/16/2015	Active	Sathe, Robert B.	03/11/2016	Active
Fischer, Gregory E	01/11/2017	Active	Saunders, Robert S.	01/22/2015	Active
Flanagan, Matthew	02/12/2015	Active	Sautel, Stephen D.	12/04/2015	Active
Flavin, Jr., Frank J.	05/03/2017	Active	Sautel, Terri A.	12/04/2015	Active
Forte, Eugene Andrew	12/15/2015	Active	Schackel, Eric L.	01/12/2015	Active
Foster, Jason Alton	01/23/2015	Active	Schackel, Richard L.	01/12/2015	Active
Fraser, Jr., Malcolm James	01/23/2015	Active	Scheper, Charles R.	02/02/2016	Active
Frey, Robert E.	01/08/2016	Active	Schiavone, Todd Anthony	11/20/2016	Active
Gaffney, Michelle B	07/08/2015	Active	Schiowitz, Robert	01/08/2015	Active
Galloway, Edward L.	04/03/2017	Active	Schlotman, John Michael	01/05/2016	Active
Ganster, John Hugo	12/15/2015	Active	Schlotman, Kyle	06/17/2015	Active
Geeding, Daniel W	12/15/2015	Active	Schnatter, Charles W	02/23/2015	Active
Gernert, Melissa T	10/19/2015	Active	Schroeder, Gregory L.	01/12/2016	Active
Gernert, William R	10/19/2015	Active	Schy, Tony	04/01/2015	Active
Ghanta, Guru P.	05/03/2017	Active	Seal, Richard C	12/15/2015	Active
Ghanta, Praveen	01/20/2017	Active	Sears, Richard S.	03/27/2017	Active
Ghanta, Rajesh	02/02/2017	Active	Self, Thomas Benjamin	02/08/2016	Active
Ghanta, Ravi Kiran	02/02/2017	Active	Shah, Rajesh G.	02/20/2017	Active
Glasscock, Charles Edward	04/10/2017	Active	Sherlock, Isaac Walter	06/30/2015	Active
Gleis, Gregory E.	01/09/2017	Active	Shields, Christopher Brian	05/08/2017	Active
Glockner, Andrew Martin	12/16/2015	Active	Shiple, Tony L	12/15/2015	Active
Goodnight, David L	12/27/2016	Active	Short, Jeffrey William	03/26/2015	Active
Gordinier, Henry	12/13/2016	Active	Siegrist, John Thomas	08/11/2015	Active

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Grable, Robert G.	12/13/2016	Active	Sigler, James David	02/26/2016	Active
Gramig, Steven B	03/20/2015	Active	Singal, Inder	04/10/2017	Active
Grant, Craig D	06/01/2015	Active	Sirchio, John Kristin	08/25/2015	Active
Griffin, Toby Andrew	03/13/2015	Active	Skaggs Jr., Dale B.	11/20/2016	Active
Guthrie, Timothy F	12/22/2014	Active	Sleet, Harry	08/17/2015	Active
Haas, Eric	12/28/2015	Active	Smith, Stewart A	12/21/2016	Active
Habbert, John H	12/22/2015	Active	Somerville, Chris R	12/15/2015	Active
Hagan IV, George S.	03/22/2016	Active	Soriano, Alex L.	03/27/2017	Active
Halecky, Benedicta	02/12/2016	Active	Stegman, Brian J.	11/29/2016	Active
Hall, John R.	12/28/2016	Active	Steiden, Eric A.	06/17/2015	Active
Halter, Kevin B	12/16/2015	Active	Steinbock, Robert T	02/15/2016	Active
Haman, William W	12/15/2015	Active	Stengel, Bradford P	01/09/2017	Active
Hand, Donald Reese	01/20/2015	Active	Stephany, Florine G	03/16/2015	Active
Hardwick, Donald R	01/11/2016	Active	Stigler, Charles M.	11/20/2016	Active
Hardy, David L	06/12/2015	Active	Strange, John Eric	08/21/2015	Active
Harmeyer, Gregory W	03/03/2016	Active	Streepey, Ben M	03/20/2015	Active
Harrell, Chet D.	01/12/2015	Active	Strench, William G	01/18/2017	Active
Hart, Mark C	12/13/2016	Active	Stuedle, Joseph A.	12/08/2016	Active
Hawse, Alan R	04/28/2015	Active	Stuedle, Margaret Kristin	12/08/2016	Active
Heidrich, David P.	01/23/2015	Active	Suriano, Douglas Anthony	01/20/2017	Active
Heil, Carol L.	12/02/2016	Active	Sussman, Daniel Leonard	01/09/2017	Active
Heitker, Thomas Leo	01/20/2017	Active	Sutherland, Richard Keith	01/11/2016	Active
Heller, Elizabeth R.	01/09/2015	Active	Sweeney, Edward L.	03/04/2015	Active
Heller, Leonard E.	12/15/2014	Active	Switzer, Richard W.	06/30/2015	Active
Helmers, David L.	03/03/2015	Active	Tavakoli, Ron	01/20/2015	Active
Hertlein, Denice	12/19/2014	Active	Taylor, James Todd	12/15/2016	Active
Hill, Charles A.	01/09/2017	Active	Thompson, John C	03/10/2016	Active
Hinton, Theresa	02/28/2017	Active	Thornton, Matthew A.	12/13/2016	Active
Holland, John G	11/28/2016	Active	Toomey, Hal W	05/14/2015	Active
Holoubek, Phil	02/11/2015	Active	Topits, John R.	08/13/2015	Active
Hoseus, Michael S	12/27/2016	Active	Trager, Steven E.	04/13/2015	Active
Hughes, Dean Lee	12/31/2015	Active	Trumbull, Richard Scott	01/04/2017	Active
Hunt IV, George Henry	12/22/2015	Active	Ulmer, Daniel Conrad	06/01/2015	Active
Hupman, Paul Steve	03/20/2015	Active	Ulmer, Gary C	06/01/2015	Active
Iaquinto, Barbara A.	12/27/2016	Active	Valenzano, Kenneth J.	01/08/2015	Active
Jacobs, Joey	07/20/2016	Active	van Rooyen, Guy L	03/10/2016	Active
Jakobi, Larry Andrew	03/13/2015	Active	Veer, Purna	02/02/2017	Active
John W., Dorning	02/20/2017	Active	Veith, Terrence Michael	12/30/2014	Active
Jones, Jeffrey L	02/04/2016	Active	Vempati, Malati	01/20/2017	Active
Jones, Steve M	05/20/2016	Active	Verst, Paul T.	03/29/2016	Active
Jones, Steven Edward	11/20/2016	Active	Vogele, Matthew	07/02/2015	Active
Jones, Thomas Allen	12/21/2016	Active	Walker, Winston Glenn	01/08/2015	Active
Jsa, She-Tai Stanley	02/18/2016	Active	Ward, George D	03/06/2015	Active
Kalikiri, Kishore	02/01/2017	Active	Watts, Bobby	08/03/2015	Active
Karem, Jason E.	03/07/2017	Active	Wells, Robert M.	12/17/2015	Active
Karem, Sr., John R.	03/07/2017	Active	Wersching, James P.	07/02/2015	Active
Karlstrom, Anders	01/14/2015	Active	Wesdorp, Benet Earl	12/28/2015	Active

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Kautzman, Traci G.	02/18/2015	Active	Westheimer, Richard L.	12/17/2015	Active
Kazazian, Jr., Haig H.	02/23/2015	Active	Wheeler, Mark Randall	06/08/2015	Active
Kelley, Paul J	03/26/2015	Active	Wilkins, Todd Christopher	01/21/2016	Active
Kelmanson, Louis R	12/04/2014	Active	Willett, Joseph P	01/19/2016	Active
Khiani, Kamal J	05/03/2017	Active	Willmoth, John H	12/20/2016	Active
Kienzle, Barry G.	02/18/2015	Active	Wilson, Steven A.	02/17/2016	Active
Kiliddar, Hussein	02/19/2015	Active	Winbigler, David Brian	04/19/2017	Active
King, Darren G.	06/15/2016	Active	Winbigler, Douglas D.	05/03/2017	Active
Kirchdorfer, James J	03/15/2016	Active	Wiseman, Elizabeth Ulmer	06/01/2015	Active
Kirchdorfer, Mark T	03/15/2016	Active	Wood, David Y	12/16/2015	Active
Kirkwood, Robert Joseph	03/03/2016	Active	Wood, Phoebe A.	12/04/2014	Active
Klunk, Joseph A.	01/18/2017	Active	Wright, Creighton B.	01/30/2015	Active
Knox, David George	05/01/2017	Active	Wright, Terrence M	12/29/2015	Active
Koch, David A.	01/14/2015	Active	Wright, William Steve	12/21/2015	Active
Kolli, Rajendra P	01/20/2017	Active	Wulfeck, Andrew	02/18/2015	Active
Kuhn, Robert J	01/13/2015	Active	Wulfeck, Kenneth W	01/11/2016	Active
Kulick, Roy M	12/15/2015	Active	Young, Anthony John	12/22/2016	Active
Lackey, Doug	06/17/2015	Active	Young, Christopher H	01/05/2017	Active
Lampert, Mark N.	01/19/2016	Active	Young, Christopher Shane	04/14/2016	Active
Langdon, Gregory Lee	01/26/2016	Active	Young, William Ryan	12/21/2015	Active
Lange, Douglas B	02/18/2015	Active	Youngs, Heather	01/11/2016	Active
Lapinski, Sterling A	12/30/2016	Active	Zalla, William R.	02/17/2016	Active
Le, Tao T	12/13/2016	Active	Zapp, Bradley R.	12/29/2015	Active
Lebder, Stephen P	12/13/2016	Active	Zapp, Robert W.	04/18/2017	Active
Lewis, Rebecca B.	01/05/2015	Active	Zhan, Chang-Guo	01/30/2015	Active

Status Description

- Active - Investors considering making investments
- IA - Closed - Investor has withdrawn certification
- IA - Max - Investor has reached maximum amount of credits allowed for the calendar year