



Kentucky Angel Investment Act

Investment Summary 2018

Updated as of 6/4/2018

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Atalo Holdings, Inc.	Needham, Phil D.	Clark	Bioscience	05/31/2018	Awaiting Investment	\$112,500	-	-
Atalo Holdings, Inc.	Halecky, Benedicta M.	Clark	Bioscience	05/31/2018	Awaiting Investment	\$137,500	-	-
Baqua, Inc.	Halecky, Benedicta M.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Baqua, Inc.	Forrest, Joan S.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$20,000	\$20,000	\$8,000
Baqua, Inc.	Pewitt, Tyler S.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Baqua, Inc.	Pewitt, Stephen P.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$40,000	\$40,000	\$16,000
Baqua, Inc.	Taylor, Valerie	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$20,000	\$20,000	\$8,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$200,000	\$80,000
Bexion Pharmaceuticals, Inc.	Stacy, Raymond Michael	Kenton	Health & Human Development	05/31/2018	Awaiting Investment	\$250,000	-	-
Cuddle Clones LLC	Wood, Phoebe A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Cuddle Clones LLC	McClelland, Martin N.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Double Double D, LLC	North, Alan John	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Inactive	\$100,000	\$0	\$0
Double Double D, LLC	Rooker, Daniel Kenyon	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000



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Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
EdjAnalytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
EdjAnalytics, LLC	Kirchdorfer, Mark Thomas	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$75,000	\$75,000	\$30,000
EdjAnalytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
EdjAnalytics, LLC	Cobb, Douglas Ford	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
EdjSports, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Awaiting Investment	\$100,000	-	-
Edumedics LLC	Lunsford, William Bruce	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Cobb, Douglas Ford	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$50,000	\$20,000	\$8,000
Edumedics LLC	Crum, Dennis W.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Danehy, Jack Clifford	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
EIE Materials Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$100,000	-	-
Enable AI Inc	Arderly, Oliver B.	Jefferson	Information Technology & Communications	01/25/2018	Inactive	\$75,000	\$0	\$0
Fourth Wall, L.L.C.	Heidrich, David P.	Kenton	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Fourth Wall, L.L.C.	Zalla, William R.	Kenton	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Gourmet Renee LLC	Huff, Michael Adam	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$10,000	-	-
Gourmet Renee LLC	Powers, Scott M.	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$10,000	-	-



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Gourmet Renee LLC	Jones, Gregory Scott	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$10,000	-	-
Gryphon Environmental, LLC	Estes, Gay Nell	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Luftman, Brian A.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Estes, Wayne Lewis	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Boyd, James R.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$22,120	\$22,120	\$8,848
Gryphon Environmental, LLC	Hoseus, Michael S.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Gryphon Environmental, LLC	Deane, Gordon L.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
Gryphon Environmental, LLC	Smith, Stewart A	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gun Media Holdings, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Gun Media Holdings, Inc.	King, Darren G.	Fayette	Information Technology & Communications	05/31/2018	Awaiting Investment	\$150,000	-	-
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/25/2018	Inactive	\$100,000	\$0	\$0
Hummingbird Nano, Inc.	King, Darren G.	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$100,000	-	-
Hummingbird Nano, Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$50,000	-	-
MER Ventures LLC	Masters, Cynthia R.	Jefferson	Information Technology & Communications	05/31/2018	Awaiting Investment	\$25,000	-	-
MobileServe LLC	McClelland, Martin N.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
MobileServe LLC	Swope, Carl L.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
MobileServe LLC	Heuser, Henry V.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000



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Neuronetrix Solutions, LLC	Greenwald, Bart L.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Berg, Fran L.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Schnatter, Charles W	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$200,000	\$75,000	\$30,000
Patriot Brands LLC	Karem, Jason E.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Karem Sr., John R.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Sears, Richard S.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Morris, Jerry L.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Reid, Raymond Scott	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Livesay Jr., Kenneth Wayne	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Pyrochem Catalyst Company	Streepey, Ben M	Jefferson	Materials Science & Advanced Manufacturing	05/31/2018	Awaiting Investment	\$20,000	-	-
Rabbit Hole Spirits, LLC	Kakarlapudi, Venkata V.	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Awaiting Investment	\$150,000	-	-
Rabbit Hole Spirits, LLC	Carter, Eric S.	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Awaiting Investment	\$100,000	-	-
Rabbit Hole Spirits, LLC	Abbas, Gregory M.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$125,000	\$124,800	\$49,920
Rabbit Hole Spirits, LLC	Tichenor, Joe H.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Kelmanson, Louis R	Jefferson	Information Technology & Communications	05/31/2018	Awaiting Investment	\$50,000	-	-
RCM Brain, Inc.	Coulter, Chad J.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Doligale, Robert W.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000



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RCM Brain, Inc.	Skaggs, Jr., Dale B.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Taylor, Robert N.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Perkins III, Herbert W	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Fitzhugh, Scott E.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Foley, Rick L.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Stigler, Charles M.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Foley, Kevin M.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000
Schedule It LLC	Swope, Carl L.	Hardin	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Smart Farm Systems, Inc.	Young, Christopher H	Jessamine	Other New Economy Knowledge Based Activity	01/25/2018	Inactive	\$50,000	\$0	\$0
Sunstrand, LLC	Grant, Craig D	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Switcher Inc	Willmoth, John H.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
TEC Biosciences Inc.	Wagner, Peter Edward	Fayette	Bioscience	01/25/2018	Inactive	\$500,000	\$0	\$0
TEC Biosciences Inc.	Castaneda, Tonye-Marie	Fayette	Bioscience	01/25/2018	Inactive	\$500,000	\$0	\$0
Virtual Peaker, Inc.	Lapinski, Sterling A.	Jefferson	Environmental & Energy Technology	05/31/2018	Awaiting Investment	\$50,000	-	-
Virtual Peaker, Inc.	Wilhite, Steve T.	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$150,000	\$150,000	\$60,000
Virtual Peaker, Inc.	Galloway, Edward L.	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Boyer, Mark H	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$150,000	\$150,000	\$60,000



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Virtual Peaker, Inc.	Cobb, Douglas Ford	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Moeves, Tobias J.	Kenton	Information Technology & Communications	05/31/2018	Awaiting Investment	\$10,000	-	-
KAIA Total*						\$8,977,120	\$5,761,920	\$2,304,768
KAIA Previous Years								\$8,998,684
KIFA Allocation**								\$26,545,672
Grand Total***								\$37,849,124

Status Description:

- *Awaiting Investment* - Investment application has been approved by KEDFA but investment not yet confirmed.
- *Investment Made* - Investor made the qualified investment and submitted sufficient proof by the required deadlines.
- *Inactive*- Investor failed to make the investment or submit sufficient documentation by the required deadlines.

* The total amount of tax credits available for the Kentucky Angel Investment Act program shall not exceed \$3,000,000 per calendar year.

** Credits approved through the Kentucky Investment Fund Act.

*** The total amount of tax credits awarded for both the Kentucky Angel Investment Act and the Kentucky Investment Fund Act cannot exceed \$40,000,000 for all years.