

# Kentucky Angel Investment Act

## Investment Summary 2017

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
451 Tech L.L.C.	Iaquinto, Barbara A.	Fayette	Information Technology & Communications	01/26/2017	Inactive	\$100,000	\$0	\$0
Advanced Energy Materials, LLC	Abbu, Ramanadham	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Chadalawada, Satyanarayana	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Chennareddy, Sudhakar B.	Jefferson	Materials Science & Advanced Manufacturing	08/31/2017	Investment Made	\$25,000	\$25,000	\$9,680
Advanced Energy Materials, LLC	Devineni, Srinivasa Babu	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Ghanta, Guru P.	Jefferson	Materials Science & Advanced Manufacturing	05/25/2017	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Ghanta, Praveen	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Kolli, Rajendra P	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Pagano, Dominick Anthony	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$500,000	\$500,000	\$200,000
Advanced Energy Materials, LLC	Patil, Santosh	Jefferson	Materials Science & Advanced Manufacturing	03/30/2017	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Vempati, Malati	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Jones, Steven Edward	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000



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All Around Care LLC	Mullins, Gregory C.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Rice, Jardane M.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Schiavone, Todd Anthony	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	02/23/2017	Investment Made	\$500,000	\$1,100,000	\$200,000
Bexion Pharmaceuticals, Inc.	Zapp, Robert	Kenton	Health & Human Development	04/27/2017	Investment Made	\$250,000	\$250,000	\$100,000
Comfy Cow, LLC	Singal, Inder	Jefferson	Materials Science & Advanced Manufacturing	04/27/2017	Investment Made	\$100,000	\$100,000	\$40,000
CompleteSet, Inc.	Brand, George E	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$75,000	\$75,000	\$30,000
CompleteSet, Inc.	Glasscock, Charles Edward	Kenton	Other New Economy Knowledge Based Activity	04/27/2017	Investment Made	\$25,000	\$25,000	\$10,000
CompleteSet, Inc.	Klunk, Joseph A.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
CompleteSet, Inc.	Murdock, Shawn L.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$20,000	\$19,999	\$8,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$250,000	\$150,000	\$60,000
Edumedics LLC	Chadha, Vikrampal	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$20,000	\$20,000	\$8,000
Edumedics LLC	Danehy, Jack C.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Heil, Carol L.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$30,000	\$25,000	\$10,000
Edumedics LLC	Le, Tao T	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000



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Edumedics LLC	Nguyen, Yung T.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$300,000	\$300,000	\$120,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	01/26/2017	Investment Made	\$50,000	\$50,000	\$25,000
Flying Axes, Inc.	Knox, David George	Jefferson	Information Technology & Communications	05/25/2017	Investment Made	\$50,000	\$26,000	\$10,400
Frogdice, Inc.	Glasscock, Charles Edward	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$25,000	\$40,000	\$10,000
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Flavin, Jr., Frank J.	Kenton	Materials Science & Advanced Manufacturing	05/25/2017	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Olenick, Stephen	Kenton	Materials Science & Advanced Manufacturing	03/30/2017	Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Danehy, Jack C.	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Lapinski, Sterling A	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$17,143	\$17,143	\$6,857
Healthcare Asset Network, Inc.	Lunsford, William Bruce	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$150,000	\$150,000	\$60,000
Healthcare Asset Network, Inc.	Skaggs Jr., Dale B.	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Stigler, Charles M.	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Trumbull, Richard Scott	Oldham	Information Technology & Communications	01/26/2017	Investment Made	\$137,141	\$137,141	\$54,856



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Hera Testing Laboratories, Inc.	Chengelis, Christopher Peter	Fayette	Bioscience	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Hera Testing Laboratories, Inc.	Luftman, Brian A.	Fayette	Bioscience	01/26/2017	Investment Made	\$20,000	\$20,000	\$8,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Hippo Manager Software, Inc.	Cundiff, Clarence K.	Fayette	Information Technology & Communications	04/27/2017	Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Hoseus, Michael S	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Hippo Manager Software, Inc.	Luftman, Brian A.	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	01/26/2017	Investment Made	\$10,000	\$10,000	\$4,000
Liberate Medical (DE), Inc.	Diaz, Paul J.	Oldham	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Liberate Medical (DE), Inc.	Hoseus, Michael S	Oldham	Bioscience	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Lucina Health, Inc.	Fischer, Gregory E	Jefferson	Information Technology & Communications	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Lucina Health, Inc.	Shields, Christopher Brian	Jefferson	Information Technology & Communications	05/25/2017	Investment Made	\$179,420	\$179,420	\$71,768
MER Ventures LLC	Young, Anthony John	Jefferson	Information Technology & Communications	01/26/2017	Inactive	\$200,000	\$0	\$0
Moonshine, Beer & BBQ Co.	Fehrenbacher, Dennis G.	Lyon	Materials Science & Advanced Manufacturing	01/26/2017	Inactive	\$400,000	\$0	\$0
Neuronetrix Solutions, LLC	Brown, III, Robinson	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Hill, Charles A.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$150,000	\$150,000	\$60,000
Neuronetrix Solutions, LLC	Ramsey, Neil P.	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$300,000	\$300,000	\$120,000



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Neuronetrix Solutions, LLC	Richardson, David Tuell	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Stengel, Bradford P	Jefferson	Health & Human Development	01/26/2017	Investment Made	\$150,000	\$150,000	\$60,000
NX Development Corp.	Beanblossom, Brent Christian	Fayette	Health & Human Development	01/26/2017	Investment Made	\$150,000	\$150,000	\$60,000
NX Development Corp.	Sussman, Daniel Leonard	Fayette	Health & Human Development	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Beneke, Michael J	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$300,000	\$300,000	\$120,000
Patriot Brands LLC	Blandford, George E	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Gordinier, Henry	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$150,000	\$150,000	\$60,000
Patriot Brands LLC	Hart, Mark C	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	04/27/2017	Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, Douglas D.	Jefferson	Materials Science & Advanced Manufacturing	05/25/2017	Investment Made	\$100,000	\$100,000	\$40,000
PowerTech Water, LLC	Goodnight, David L	Fayette	Environmental & Energy Technology	01/26/2017	Inactive	\$15,000	\$0	\$0
PowerTech Water, LLC	Young, Christopher H	Fayette	Environmental & Energy Technology	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Abbas, Gregory M	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Burkett, John	Jefferson	Other New Economy Knowledge Based Activity	04/27/2017	Investment Made	\$22,800	\$22,800	\$9,120
Rabbit Hole Spirits, LLC	Cain, Darren L.	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Investment Made	\$50,000	\$150,000	\$20,000
Rabbit Hole Spirits, LLC	Chandler, Jr., William F.	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$50,000	\$50,000	\$20,000



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Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$100,000	\$60,000	\$24,000
Rabbit Hole Spirits, LLC	Khiani, Kamal J	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Investment Made	\$23,000	\$22,800	\$9,120
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$25,000	\$24,998	\$9,999
Space Tango, Inc.	Clements, Pat J	Fayette	Other New Economy Knowledge Based Activity	01/26/2017	Investment Made	\$20,000	\$20,000	\$8,000
Sunstrand, LLC	Gleis, Gregory E.	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Investment Made	\$53,000	\$53,000	\$21,200
Switcher Inc	Holland, John G	Jefferson	Information Technology & Communications	01/26/2017	Investment Made	\$10,000	\$10,000	\$4,000
Switcher Inc	Willmoth, John H	Jefferson	Information Technology & Communications	01/26/2017	Investment Made	\$40,000	\$40,000	\$16,000
Transposagen Biopharmaceuticals, Inc.	Bejma, Jeffrey Paul	Fayette	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Dinuka	Fayette	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Melanie	Fayette	Bioscience	01/26/2017	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Rushan Ayendra	Fayette	Bioscience	01/26/2017	Investment Made	\$125,000	\$125,000	\$50,000
Transposagen Biopharmaceuticals, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	Inactive	\$150,000	\$0	\$0
Urban Shipper Technology Inc.	Heitker, Thomas Leo	Kenton	Information Technology & Communications	01/26/2017	Investment Made	\$20,000	\$20,000	\$8,000
Vita-Stat LLC	Danehy, Jack C.	Jefferson	Information Technology & Communications	01/26/2017	Investment Made	\$300,000	\$300,000	\$120,000
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	01/26/2017	Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Roth , John B	Jefferson	Bioscience	01/26/2017	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Stuedle, Joseph A.	Jefferson	Bioscience	01/26/2017	Investment Made	\$10,000	\$10,000	\$4,000



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Vivorte (DE), Inc.	Stuedle, Margaret Kristin	Jefferson	Bioscience	01/26/2017	Investment Made	\$15,000	\$15,000	\$6,000
<b>KAIA Total</b>						<b>\$8,522,504</b>	<b>\$8,203,302</b>	<b>\$3,000,000</b>