



Kentucky Angel Investment Act

Investment Summary 2016

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Adaptive Technologies, LLC	Antonsson, Stefan	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Baggott, Stephen J	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Bruck, John Mark	Oldham	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Capossela, Theodore A	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Frey, Robert E.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$40,000	\$40,000	\$16,000
Adaptive Technologies, LLC	Ganster, John Hugo	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Geeding, Daniel W	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Habbert, John H	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Haman, William W	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Kulick, Roy M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Mattix, Chadwick M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	McKee, Donald F.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000



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Adaptive Technologies, LLC	Minson, Gilbert J.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	O'Reilly, James T	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Petrik, Robert J.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,500	\$10,500	\$4,200
Adaptive Technologies, LLC	Rader, M. Elizabeth	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Seal, Richard C	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Shiple, Tony L	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wilson, Steven A.	Oldham	Information Technology & Communications	02/25/2016	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wright, Terrence M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
AffiniCorp LLC	Baluyut, Arlene R	Jefferson	Health & Human Development	03/31/2016	Investment Made	\$107,000	\$100,000	\$40,000
Comfy Cow, LLC	Brown, Kimberly F.	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Osborn, Jr., Charles A.	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Rosenberg, Alan M	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Digital Comply, Inc.	Fischer, Gregory E	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Digital Comply, Inc.	Glasscock, Charles Edward	Hardin	Information Technology & Communications	02/25/2016	Investment Made	\$250,000	\$250,000	\$100,000
Digital Comply, Inc.	Patterson II, James A.	Hardin	Information Technology & Communications	02/25/2016	Investment Made	\$250,000	\$250,000	\$100,000
Digital Comply, Inc.	Sautel, Stephen D.	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$500,000	\$499,890	\$199,956
Digital Comply, Inc.	Sautel, Terri A.	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$500,000	\$282,778	\$113,111



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Double Double D, LLC	Ferguson, James Michael	Boone	Materials Science & Advanced Manufacturing	10/27/2016	Investment Made	\$50,000	\$50,000	\$20,000
Double Double D, LLC	Rice, Jr., George Johnson	Boone	Materials Science & Advanced Manufacturing	05/26/2016	Investment Made	\$250,000	\$250,000	\$100,000
Edj Analytics, LLC	Boden, Dale J	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
Edj Analytics, LLC	Fischer, Gregory E	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, James J	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, Mark T	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Rudd, William O	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Thompson, John C	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Fanbloom, Inc.	Cain, David A.	Kenton	Information Technology & Communications	05/26/2016	Inactive	\$20,000	\$0	\$0
Fanbloom, Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	04/28/2016	Inactive	\$50,000	\$0	\$0
Fanbloom, Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	05/26/2016	Inactive	\$25,000	\$0	\$0
Fanbloom, Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	04/28/2016	Inactive	\$25,000	\$0	\$0
First Care Holding, LLC	Carlton, Gerald J.	Laurel	Health & Human Development	09/29/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Fincher, Ronald M	Laurel	Health & Human Development	06/30/2016	Investment Made	\$100,000	\$100,000	\$50,000



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First Care Holding, LLC	Jacobs, Joey	Laurel	Health & Human Development	07/28/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Jones, Jeffrey L	Laurel	Health & Human Development	02/25/2016	Investment Made	\$200,000	\$200,000	\$100,000
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	01/28/2016	Investment Made	\$50,000	\$18,000	\$9,000
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	06/30/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Polson, Jack	Laurel	Health & Human Development	05/26/2016	Inactive	\$100,000	\$0	\$0
MobileMedTek Holdings, Inc.	Steinbock, Robert T	Jefferson	Health & Human Development	02/25/2016	Investment Made	\$174,000	\$174,000	\$69,600
Nexeon MedSystems Inc	Ruckdaeschel, Alexander M.	Fayette	Bioscience	02/25/2016	Inactive	\$350,000	\$0	\$0
NX Prenatal Inc.	Deo, Nikhil A	Jefferson	Bioscience	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
NX Prenatal Inc.	Page, David A	Jefferson	Bioscience	03/31/2016	Investment Made	\$30,000	\$20,000	\$8,000
NX Prenatal Inc.	Reilly, Timothy A	Jefferson	Bioscience	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Langdon, Gregory Lee	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Self, Thomas Benjamin	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Young, Christopher H	Jefferson	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Burkett, John	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Hardwick, Donald R	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	05/26/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jsa, She-Tai Stanley	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Inactive	\$100,000	\$0	\$0



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Rabbit Hole Spirits, LLC	Khiani, Kamal J	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Mackin, Craig L	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$200,000	\$200,000	\$80,000
Rabbit Hole Spirits, LLC	Robertson, Stephen T	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Inactive	\$100,000	\$0	\$0
Rabbit Hole Spirits, LLC	Sigler, James David	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Strench, William G	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Sutherland, Richard Keith	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$200,000	\$200,000	\$80,000
RedLeaf Biologics, Inc.	Baker, William True	Jessamine	Bioscience	01/28/2016	Investment Made	\$15,000	\$15,000	\$6,000
RedLeaf Biologics, Inc.	Dueber, John E	Jessamine	Bioscience	01/28/2016	Investment Made	\$10,000	\$15,000	\$4,000
RedLeaf Biologics, Inc.	Ferguson, Deborah Lynn	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Halecky, Benedicta	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Lampert, Mark N.	Jessamine	Bioscience	01/28/2016	Investment Made	\$85,000	\$85,000	\$34,000
RedLeaf Biologics, Inc.	Rix, Douglas Walter	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Somerville, Chris R	Jessamine	Bioscience	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
RedLeaf Biologics, Inc.	Youngs, Heather	Jessamine	Bioscience	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$25,000	\$24,998	\$9,999
Smart Farm Systems, Inc.	Clay-Circle, Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Clay-Thomas, Amy Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$30,000	\$29,998	\$11,999



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Smart Farm Systems, Inc.	Cole, Vincent J.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$75,000	\$74,999	\$30,000
Smart Farm Systems, Inc.	Goodnight, David L	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Wells, Robert M.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Young, Christopher H	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Young, William Ryan	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$250,000	\$249,999	\$99,999
Space Tango, Inc.	Hughes, Dean Lee	Fayette	Other New Economy Knowledge Based Activity	01/28/2016	Inactive	\$100,000	\$0	\$0
Space Tango, Inc.	Hunt IV, George Henry	Fayette	Other New Economy Knowledge Based Activity	01/28/2016	Inactive	\$35,000	\$0	\$0
Space Tango, Inc.	Rogers, Fielding Allen	Fayette	Other New Economy Knowledge Based Activity	03/31/2016	Inactive	\$50,000	\$0	\$0
Stretch Fabrics, LLC	Zalla, William R.	Kenton	Materials Science & Advanced Manufacturing	02/25/2016	Investment Made	\$50,000	\$50,000	\$20,000
Sunstrand, LLC	Bickel III, Paul J	Jefferson	Materials Science & Advanced Manufacturing	09/29/2016	Investment Made	\$53,000	\$74,200	\$21,200
SuperFanU, Inc.	Dickinson, Dean Kenneth	Jefferson	Information Technology & Communications	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
SuperFanU, Inc.	Goodnight, David L	Jefferson	Information Technology & Communications	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
SuperFanU, Inc.	Klunk, Joseph A.	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$50,000	\$50,000	\$20,000
Tier 1 Accelerated Health, LLC	Banta, Thomas E.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Butler, William P.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$75,000	\$70,000	\$28,000
Tier 1 Accelerated Health, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Harmeyer, Gregory W	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$114,526	\$114,526	\$45,810



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Tier 1 Accelerated Health, LLC	Moore, Kevin C	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$114,526	\$114,526	\$45,810
Tier 1 Accelerated Health, LLC	Sathe, Robert B.	Kenton	Information Technology & Communications	03/31/2016	Inactive	\$50,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Kirkwood, Robert Joseph	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piecoro, Jr., John Joseph	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Urban Technology Inc.	Piecoro, Jr., John Joseph	Kenton	Information Technology & Communications	12/08/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piecoro, Lance T.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	05/26/2016	Investment Made	\$25,000	\$25,000	\$10,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	12/08/2016	Investment Made	\$10,000	\$10,000	\$4,000
Vivorte (DE), Inc.	Schlotman, John Michael	Jefferson	Bioscience	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Siegrist, John Thomas	Jefferson	Bioscience	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Becker, Mark John	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$25,000	\$0	\$0
Wyzerr Inc.	Bray, Chad	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Bray, Scott	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Burke, Brennan C.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$15,000	\$15,000	\$6,000
Wyzerr Inc.	Frey, Robert E.	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$40,000	\$0	\$0
Wyzerr Inc.	Geeding, Daniel W	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$10,000	\$0	\$0



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Wyzerr Inc.	Haas, Eric	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Moeves, Tobias	Kenton	Information Technology & Communications	03/31/2016	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Sathe, Robert B.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$30,000	\$30,000	\$12,000
Wyzerr Inc.	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Schlotman, John Michael	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$300,000	\$300,000	\$120,000
Wyzerr Inc.	Schroeder, Gregory L.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Wesdorp, Benet Earl	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Wilkins, Todd Christopher	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Zapp, Bradley R.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
KAIA Total						\$8,813,552	\$7,368,412	\$2,998,684