



Kentucky Angel Investment Act

Investment Summary 2017

Updated as of 1/26/17

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
451 Tech L.L.C.	Iaquinto, Barbara A.	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Advanced Energy Materials, LLC	Abbu, Ramanadham	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Advanced Energy Materials, LLC	Chadalawada, Satyanarayana	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Advanced Energy Materials, LLC	Devineni, Srinivasa Babu	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Advanced Energy Materials, LLC	Ghanta, Praveen	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Advanced Energy Materials, LLC	Kolli, Rajendra P	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Advanced Energy Materials, LLC	Pagano, Dominick Anthony	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$500,000		\$200,000
Advanced Energy Materials, LLC	Vempati, Malati	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
All Around Care LLC	Jones, Steven Edward	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
All Around Care LLC	Mullins, Gregory C.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
All Around Care LLC	Rice, Jardane M.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
All Around Care LLC	Schiavone, Todd Anthony	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000



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All Around Care LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
All Around Care LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
CompleteSet, Inc.	Brand, George E.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$75,000		\$30,000
CompleteSet, Inc.	Klunk, Joseph A.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
CompleteSet, Inc.	Murdock, Shawn L.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$250,000		\$100,000
Edumedics LLC	Chadha, Vikrampal	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Edumedics LLC	Danehy, Jack C.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Edumedics LLC	Heil, Carol L.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$30,000		\$12,000
Edumedics LLC	Le, Tao T	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Edumedics LLC	Nguyen, Yung T.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Edumedics LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Edumedics LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$300,000		\$120,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$50,000		\$25,000
Frogdice, Inc.	Glasscock, Charles Edward	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000



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Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$10,000		\$4,000
Healthcare Asset Network, Inc.	Danehy, Jack C.	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Healthcare Asset Network, Inc.	Lapinski, Sterling A	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$17,143		\$6,857
Healthcare Asset Network, Inc.	Lunsford, William Bruce	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
Healthcare Asset Network, Inc.	Skaggs Jr., Dale B.	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Healthcare Asset Network, Inc.	Stigler, Charles M.	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Healthcare Asset Network, Inc.	Trumbull, Richard Scott	Oldham	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$137,141		\$54,856
Hera Testing Laboratories, Inc.	Chengelis, Christopher Peter	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Hera Testing Laboratories, Inc.	Luftman, Brian A.	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Hippo Manager Software, Inc.	Hoseus, Michael S	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Hippo Manager Software, Inc.	Luftman, Brian A.	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$10,000		\$4,000
Hippo Manager Software, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$10,000		\$4,000
Liberate Medical (DE), Inc.	Diaz, Paul J.	Oldham	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Liberate Medical (DE), Inc.	Hoseus, Michael S	Oldham	Bioscience	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Lucina Health, Inc.	Fischer, Gregory E	Jefferson	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000



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MER Ventures LLC	Young, Anthony John	Jefferson	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$200,000		\$80,000
Moonshine, Beer & BBQ Co.	Fehrenbacher, Dennis G.	Lyon	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$400,000		\$200,000
Neuronetrix Solutions, LLC	Brown, III, Robinson	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Neuronetrix Solutions, LLC	Hill, Charles A.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
Neuronetrix Solutions, LLC	Ramsey, Neil P.	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$300,000		\$120,000
Neuronetrix Solutions, LLC	Richardson, David Tuell	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Neuronetrix Solutions, LLC	Stengel, Bradford P	Jefferson	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
NX Development Corp.	Beanblossom, Brent Christian	Fayette	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
NX Development Corp.	Sussman, Daniel Leonard	Fayette	Health & Human Development	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Patriot Brands LLC	Beneke, Michael J	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$300,000		\$120,000
Patriot Brands LLC	Blandford, George E	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$250,000		\$100,000
Patriot Brands LLC	Gordinier, Henry	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
Patriot Brands LLC	Hart, Mark C	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
PowerTech Water, LLC	Goodnight, David L	Fayette	Environmental & Energy Technology	01/26/2017	Active - Awaiting Investment	\$15,000		\$6,000
PowerTech Water, LLC	Young, Christopher H	Fayette	Environmental & Energy Technology	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000
Rabbit Hole Spirits, LLC	Chandler, Jr., William F.	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$50,000		\$20,000



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Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Space Tango, Inc.	Clements, Pat J	Fayette	Other New Economy Knowledge Based Activity	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Sunstrand, LLC	Gleis, Gregory E.	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Awaiting Investment	\$53,000		\$21,200
Switcher Inc	Holland, John G	Jefferson	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$10,000		\$4,000
Switcher Inc	Willmoth, John H	Jefferson	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$40,000		\$16,000
Transposagen Biopharmaceuticals, Inc.	Bejma, Jeffrey Paul	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Dinuka	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Melanie	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$100,000		\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Rushan Ayendra	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$125,000		\$50,000
Transposagen Biopharmaceuticals, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	Active - Awaiting Investment	\$150,000		\$60,000
Urban Shipper Technology Inc.	Heitker, Thomas Leo	Kenton	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Vita-Stat LLC	Danehy, Jack C.	Jefferson	Information Technology & Communications	01/26/2017	Active - Awaiting Investment	\$300,000		\$120,000
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	01/26/2017	Active - Awaiting Investment	\$20,000		\$8,000
Vivorte (DE), Inc.	Roth , John B	Jefferson	Bioscience	01/26/2017	Active - Awaiting Investment	\$25,000		\$10,000
Vivorte (DE), Inc.	Stuedle, Joseph A.	Jefferson	Bioscience	01/26/2017	Active - Awaiting Investment	\$10,000		\$4,000



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Vivorte (DE), Inc.	Stuedle, Margaret Kristin	Jefferson	Bioscience	01/26/2017	Active - Awaiting Investment	\$15,000		\$6,000
KAIA Total*						\$6,952,284		\$2,825,913
KAIA Previous Years								\$5,998,684
KIFA Allocation**								\$24,945,672
Grand Total***								\$33,770,269

Status Description:

- *Active - Awaiting Investment* - Investment application has been approved by KEDFA but investment not yet confirmed.
- *Active - Investment Made* - Investor made the qualified investment and submitted sufficient proof by the required deadlines.
- *IA - Ineligible*- Investor failed to make the investment or submit sufficient documentation by the required deadlines.

* The total amount of tax credits available for the Kentucky Angel Investment Act program shall not exceed \$3,000,000 per calendar year.

** Credits approved through the Kentucky Investment Fund Act.

*** The total amount of tax credits awarded for both the Kentucky Angel Investment Act and the Kentucky Investment Fund Act cannot exceed \$40,000,000 for all years.